

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
30 January 2003 (30.01.2003)

PCT

(10) International Publication Number
WO 03/007912 A2

(51) International Patent Classification⁷: A61K 9/00

L. [US/US]; 5801 Farm Ridge Road, West Lafayette, IN 47906 (US).

(21) International Application Number: PCT/US02/19799

(22) International Filing Date: 5 July 2002 (05.07.2002)

(74) Agents: LENTZ, Nelsen, L. et al.; Eli Lilly and Company, P.O. Box 6288, Indianapolis, IN 46206-6286 (US).

(25) Filing Language: English

(81) Designated States (*national*): AE, AG, AL, AM, AT (utility model), AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK (utility model), SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

(26) Publication Language: English

(30) Priority Data:
60/306,829 20 July 2001 (20.07.2001) US
60/386,474 7 June 2002 (07.06.2002) US

(71) Applicant (*for all designated States except US*): ELI LILLY AND COMPANY [US/US]; Lilly Corporate Center, Indianapolis, IN 46285 (US).

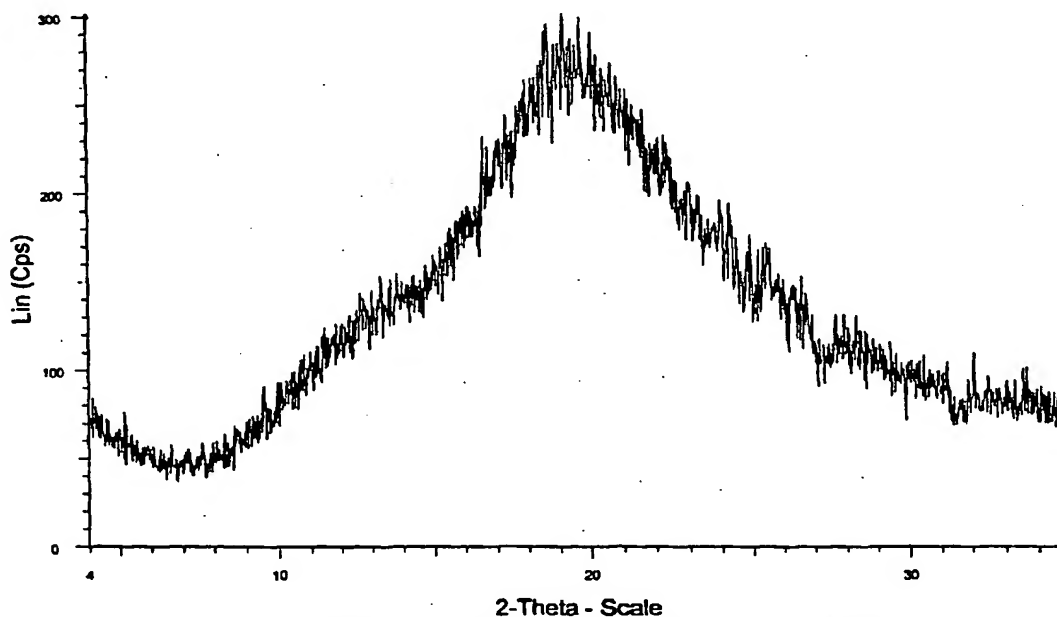
(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): DEKEMPER, Kurt, Douglas [US/US]; 449 East King Street, Franklin, IN 46131 (US). FITES, Alan, Lee [US/US]; 1806 Fox Cliff North, Martinsville, IN 46151 (US). NAIL, Steven,

[Continued on next page]

(54) Title: 2 Methyl-THIENO-BENZODIAZEPINE LYOPHILIZED FORMULATION



X-ray powder diffraction pattern of lyophilized olanzapine formulation

(57) Abstract: The invention provides an amorphous, lyophilized, parenteral formulation of olanzapine.

WO 03/007912 A2

**Declarations under Rule 4.17:**

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC,

EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

Published:

- without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

-1-

2-METHYL-THIENO-BENZODIAZEPINE LYOPHILIZED FORMULATION

This invention provides an amorphous, lyophilized parenteral formulation of 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5] benzodiazepine, hereinafter referred to as olanzapine.

Olanzapine has shown great promise in the treatment of psychotic patients. Certain formulations of olanzapine are known, as described in U.S. Patent Nos. 5,229,382 and 5,919,485. The presently claimed invention provides an amorphous, lyophilized, parenteral formulation comprising olanzapine as an active ingredient intimately mixed with a solubilizer and a stabilizer.

Olanzapine is a potent thienobenzodiazepine atypical antipsychotic agent displaying nanomolar receptor affinity in vitro at serotonin 5-HT_{2A}/2B/2C, 5-HT₃, 5-HT₆, dopamine D₁/D₂/D₃/D₄/D₅, muscarinic cholinergic (m₁-m₅), alpha₁-adrenergic, and histamine H₁ receptors. Orally administered olanzapine is currently used to treat patients worldwide as a marketed product and over 5.6 million patients have been treated with olanzapine to date.

However, in some cases, these patients are difficult to treat because of their presenting clinical state which can be agitated (overactive, chaotic and/or negative). Furthermore, other underlying conditions besides psychosis may also cause patients to become agitated such as but not limited to post surgical delirium, behavioral disturbances associated with mental retardation, mood disorders, adjustment disorders, personality disorders, anxiety disorders).

Parenteral administration of antipsychotics is favored for the control of agitation where a rapid onset of action is desirable or when patients are unable to comply with oral preparations. Parenteral pharmacotherapy is

-2-

clinically appropriate when the level of hostility, excitement, uncooperativeness or lack of impulse control is such that the potential exists for harm to self or others, or for the destruction of property.

5 The currently available intramuscular (IM) formulations of typical antipsychotics have significant safety and efficacy limitations including acute dystonias (sustained contraction of the muscles of the head, neck, or upper limbs), akathisia (persistent motor restlessness and
10 muscle tightness), ECG abnormalities such as prolongation of the QTc interval, excessive sedation, and neuroleptic malignant syndrome (rigidity, fever, a fluctuating level of consciousness, autonomic instability, and elevated muscle enzymes).

15 Olanzapine is a highly potent compound which is efficacious at small doses. In light of the potent nature of olanzapine, consistent dose uniformity is imperative. Furthermore, olanzapine is metastable and subject to hydrolysis, particularly in solution or moist environments,
20 at room temperature or even under refrigeration. In addition, olanzapine is relatively insoluble in parenteral diluents suitable for injection.

 Lyophilized products are often difficult to prepare with a uniform, solid plug that doesn't degrade,
25 adhere to the walls of the vial and stopper or leave behind product when reconstituted. Undissolved product is particularly problematic when dealing with a potent compound, such as olanzapine, because of potential dosing variation which could occur if the active agent isn't
30 completely dissolved when reconstituted. Thus, there is a need for a stable, uniform, readily reconstitutable, rapid acting injectable formulation of olanzapine with a relatively long shelf life, suitable for use in difficult to

-3-

treat, agitated patients. Such formulation, preferably, is isotonic and reconstitutable in a small injection volume.

Applicants have created an injectable, amorphous, lyophilized formulation which combines olanzapine with a suitable solubilizer and suitable stabilizer and provides the desired physical and chemical stability, ease of reconstitution, uniformity, long shelf life and rapid action needed to treat agitated patients. Applicants have found that the injectable lyophilized, amorphous formulation of olanzapine provides superior therapy for agitated patients. The formulation is preferably useful for the rapid control of agitation and disturbed behaviors associated with agitation associated with schizophrenia, bipolar manic and mixed states, dementia and associated disorders, and neurodegenerative disorders. As used herein, the term "neurodegenerative disorders" means conditions associated with retrogressive neuronal pathological change leading to loss of central nervous system function.

The term "treating" as used herein includes prophylaxis of the named condition or amelioration or elimination of the condition once it has been established.

The term "lyophilized formulation" means a freeze-dried formulation prepared by the processes known in the art and comprising as essential ingredients olanzapine, a solubilizer and a stabilizer.

By "rapid acting" is meant a parenteral formulation that is effective in alleviating or substantially reducing agitation in an agitated patient in from about one minute to about twelve hours after injection, preferably in from about five minutes to about ten hours after injection, most preferably in from about fifteen minutes to about two hours after injection.

The term "agitation" or "agitated patient" as used herein means excessive motor or verbal activity that is

-4-

usually nonproductive and repetitious. Its core psychiatric symptoms include hostility, tension, excitement, uncooperativeness and poor impulse control.

Agitation may occur with many disorders. It is a common component of psychotic disorders, schizophrenia, bipolar disorder (both manic and mixed states), dementia and associated disorders and neurodegenerative disorders. Its phenomenology is remarkably similar across disease states and its clinical description has been well characterized.

The Diagnostic and Statistical Manual 4th Edition (DSM IV) defines psychomotor agitation as "excessive motor activity...that is usually nonproductive and repetitious" (DSM IV, Fourth Edition, Washington DC, American Psychiatric Association, p 763, 1994). Examples of motor manifestations of agitation are hyperactivity, assaultiveness, physical destructiveness and threatening gestures. Other authors describe verbal forms of agitation as excessive verbal or vocal expression which include vocal outbursts, threatening language, verbal abuse and excessive verbalizations of distress (Cohen-Mansfiels and Billing, J Am Geriatr Soc 34:711-721, 1986; Lanz and Marin, J Geriatr Psychiatry Neurol 9:107-119, 1996; Mintzer and Brawman-Mintzer, J Clin Psychiatry 57(s):55-63,1996; Fugate et al., Arch Phys Med Rehabil 78:917-923, 1996; Lindenmayer, J Clin Psychiatry 61(suppl 14):5-10,2000).

In the instant invention, the term "agitation" encompasses agitation associated with any recognized form of psychopathology, preferably, agitation associated with schizophrenia, bipolar manic and mixed states, dementia and associated disorders and neurodegenerative disorders. More specifically, the term "agitation" includes agitation associated with Delirium, Dementia and Amnesiac and Other Cognitive Disorders (DSM IV pp 123- 164), Mental Disorders

-5-

Due to a General Medical Condition (DSM IV pp 165-174), Substance Related Disorders (DSM IV pp 175- 272), Schizophrenia and Other Psychotic Disorders (DSM IV 273-316), Mood Disorders (DSM IV pp 317-392), Anxiety Disorders (DSM IV pp 393-444), Adjustment Disorders (DSM IV pp 623-628), or Personality Disorders (DSM IV pp 629- 674).

Olanzapine Form II is the most stable anhydrous form of olanzapine known and is therefore important for the commercial development of pharmaceutically elegant formulations. However, Form II is unsuitable for lyophilized formulations because it does not readily dissolve in conventional parenteral diluents. In accordance with this invention, Applicants have discovered, surprisingly, that amorphous olanzapine, particularly amorphous olanzapine tartrate, is preferred for a stable, rapid acting, readily reconstitutable, lyophilized olanzapine formulation with a consistently reproducible dose.

The present invention provides a stable, amorphous, lyophilized, parenteral formulation comprising olanzapine as an active ingredient, a solubilizer and a stabilizer. Such formulation is particularly useful for treating agitation. Yet another embodiment of the invention is the use of the formulation for the manufacture of a medicament for the treatment of agitation. In still another preferred embodiment, is a pharmaceutical formulation for use in treating agitation in a human, comprising an active ingredient for treating agitation, a solubilizer and a stabilizer, characterized in that said active ingredient is olanzapine.

The present invention further provides a formulation administered via parenteral, intramuscular injection.

-6-

In another preferred embodiment of the invention the olanzapine is an amorphous state. Still more preferred in the formulation of the invention, is one where the olanzapine is a tartrate salt.

5 Preferably, the solubilizer of the formulation is tartaric acid and the stabilizer is lactose. In an additionally preferred embodiment, the lactose is lactose monohydrate.

10 Preferred is a formulation comprising from about 1 to about 20 mg/ml olanzapine, from about 20 to 50 mg/ml of lactose monohydrate and from about 0.35 to 10 mg/ml of tartaric acid.

15 Particularly preferred is a formulation comprising from about 1 to about 20 mg/ml olanzapine, from about 22.5 to 50 mg/ml of lactose monohydrate and from about 0.35 to 10 mg/ml of tartaric acid.

 Additionally preferred is a formulation comprising 5 mg/ml of olanzapine, 1.7 mg/ml of tartaric acid and 25 mg/ml of lactose monohydrate.

20 In another preferred embodiment of the invention, the amount of olanzapine in the formulation is selected from the group consisting of 1, 2.5, 5, 7.5, 10, 15, 20 mg/ml.

25 An especially preferred embodiment of the invention is one comprising 20 mg olanzapine, 7 mg tartaric acid and 100 mg lactose.

 In a further embodiment of the invention, Applicants have found the formulation of the invention particularly useful for treating agitation associated with disorders selected from the group consisting of psychotic disorders, schizophrenia, bipolar disorder (both manic and mixed states), dementia and associated disorders, and neurodegenerative disorders.

30 Especially preferred is the formulation when used to treat agitation associated with disorders selected from

-7-

the group consisting of Delirium, Dementia and Amnesiac and Other Cognitive Disorders (DSM IV pp 123- 164), Mental Disorders Due to a General Medical Condition (DSM IV pp 165- 174), Substance Related Disorders (DSM IV pp 175- 272),
5 Schizophrenia and Other Psychotic Disorders (DSM IV 273- 316), Mood Disorders (DSM IV pp 317-392), Anxiety Disorders (DSM IV pp 393-444) Adjustment Disorders (DSM IV pp 623- 628), and Personality Disorders (DSM IV pp 629- 674).

Another aspect of the invention is an article of
10 manufacture, comprising packaging material and a formulation containing olanzapine wherein the olanzapine is useful for treating agitation, and said packaging material comprises a label or package insert indicating that said formulation contains olanzapine and can be used to treat agitation.

15 The term "amorphous" means a physical state having no crystal lattice structure and may be verified by X-ray diffraction, solid-state NMR (SSNMR) and other supportive means, such as observation with a polarized light microscope and Differential Scanning Calorimetry (DSC).

20 X-ray powder diffraction may be used to establish that the lyophilized formulation is in an amorphous state. The X-ray powder diffraction (XRD) patterns for the lyophilized olanzapine formulation is obtained on a Siemens D5000 X-ray powder diffractometer, equipped with a CuK α
25 source ($\lambda = 1.54056 \text{ \AA}$) and a Kevex solid-state detector, operating at minimally 35 kV and 30 mA. The sample is scanned between 4° and 35° in 2θ , with a maximum scanning rate of 0.05° in 2θ per second. A typical XRD pattern for the amorphous, lyophilized olanzapine formulation is shown
30 in Figure I

^{13}C Cross polarization / magic angle spinning (CP/MAS) NMR (SSNMR) may be used to establish that olanzapine in the lyophilized formulation is stabilized

-8-

in the amorphous state as a tartrate salt. ^{13}C Cross polarization / magic angle spinning (CP/MAS) NMR (solid-state NMR or SSNMR) spectra are obtained using a Varian Unity Inova 400 MHz NMR spectrometer operating at a carbon frequency of 100.573 MHz and equipped with a complete solids accessory and either a Varian 5 mm VT CP/MAS or a Chemagnetics 7.5 mm T3 probe. Acquisition parameters are as follows: 90° proton r.f. pulse width 5.0 μs , contact time 2.0 ms, pulse repetition time 10 s, MAS frequency 7.0 kHz, spectral width 50 kHz, and acquisition time 50 ms. Chemical shifts are referenced to the methyl group of hexamethylbenzene ($\delta = 17.3$ ppm) by sample replacement. Identification of olanzapine tartrate is based upon comparative chemical shift data collected on isostructural solvates of olanzapine hemitartrate using the same experimental procedures for SSNMR data collection as above. A typical SSNMR pattern which demonstrates that olanzapine is stabilized in the amorphous state as a tartrate salt is shown in figure II.

Most preferably, the amorphous olanzapine tartrate of the formulation will be free of crystalline forms of olanzapine and contain less than about 1.5% total related substances, wherein "related substances" refers to undesired chemical impurities and degradation products of olanzapine.

Lactose is preferred as a stabilizer. Lyophilized formulations containing alternative stabilizers were evaluated. Samples are assayed for potency and related substances after about two and three weeks storage at 5°C , 25°C , 40°C /75% relative humidity, and 50°C . Formulations containing lactose, surprisingly, have greater potency and show less degradation than formulations containing other stabilizers. Therefore, lactose is a surprising and important component of a

-9-

stable, lyophilized formulation of olanzapine. Lactose monohydrate is preferred.

Suitable solubilizers include organic acids such as, but not limited to, tartaric acid, hydrochloric acid, citric acid, acetic acid, malic acid, fumaric acid and phosphoric acid. Tartaric acid is preferred for optimal solubility, dispersion, stability and freeze-dry characteristics.

Olanzapine is effective over a wide dosage range, the actual dose administered being dependent on the condition being treated. For example, in the treatment of adult humans, dosages of from about 0.25 to 50 mg, preferably from 1 to 30 mg, and most preferably 1 to 20 mg per day may be used. The specific dose of olanzapine administered according to this invention to obtain therapeutic effects will, of course, be determined by the particular circumstances surrounding the case, including the condition being treated. For treatment of agitated patients a dose range of from 1 to 20 mg/injection is suitable, preferably 2.5 to 12.5 mg/injection, most preferably 10mg/injection. Agitated patients may receive from one to three, preferably one, injection(s) per day. It may be appreciated that it may be necessary to make routine variations to the dosage depending on the age and condition of the patient.

A lyophilized formulation of the invention is a formulation comprising from about 1 to about 20 mg/ml olanzapine as an active ingredient (preferably from about 1 to about 10 mg/ml), from about 22.5 to 50 mg/ml of lactose monohydrate and from about 0.35 to 10 mg/ml of tartaric acid.

A preferred lyophilized formulation of the invention is a formulation comprising from about 1 to about 20 mg/ml olanzapine as an active ingredient (preferably from

-10-

about 1 to about 10 mg/ml), from about 20 to 50 mg/ml of lactose monohydrate and from about 0.35 to 10 mg/ml of tartaric acid. An excess of tartaric acid relative to olanzapine, preferably in the range of about 1.67/1 to 0.5/1 weight/weight of olanzapine/tartaric acid, is necessary to maintain the olanzapine solubility. Especially preferred is a lyophilized formulation comprising 5 mg/ml mg of olanzapine as an effective amount of the active ingredient, 1.7 mg/ml of tartaric acid and 25 mg/ml of lactose monohydrate. Further, such formulation preferably will contain not more than about 0.1% to about 1.5% total related substances, more preferably not more than about 1.0% total related substances. Additionally, such formulations preferably will contain not more than about 4% moisture, more preferably less than about 0.1% moisture.

The formulation is preferably reconstitutable in from about 1.1 to about 2.2 ml of diluent, preferably 2 ml, to obtain solutions having concentrations of approximately 5 and 10 mg/ml, and can be dissolved in a pharmaceutically acceptable carrier, such as sterile water for injection (WFI), sterile water optionally containing saline and/or sterile water containing sugars. For example, for intramuscular injection, the lyophilized plug may be dissolved in 2 ml of WFI.

A study of the lyophilized formulation stored under accelerated stability conditions for almost a year show pharmaceutically acceptable potency and stability. Samples are stored at 25°C, 40°C and 75% relative humidity, and 50°C and are assayed for potency and percent related substances at 39 days, 77 days, 109 days 202 days and 343 days.

The materials for the present invention can be purchased or prepared by a variety of procedures well known to those of ordinary skill in the art. Olanzapine can be

-11-

prepared as described by Chakrabarti in U.S. Patent No 5,229,382 ('382).

The lyophilized, amorphous formulation of the present invention can be prepared by freeze drying a solution containing technical grade olanzapine, lactose and a solubilizer, in a high vacuum for sublimating water.

Preferably, the lyophilization solution is prepared by dissolving about 3.5 mg/ml of tartaric acid (solubilizer), and about 50 mg/ml lactose, in a suitable solvent, preferably water or Water For Injection (WFI). The solubilizer and lactose are mixed and stirred until they dissolve and the solution is preferably cooled to a temperature of about 2 °C to about 25 °C, preferably about 6 °C to about 12 °C, prior to the addition of olanzapine.

Alternately, lactose may be added after the addition of olanzapine. From about 1mg/ml to about 10mg/ml olanzapine is added, preferably about 5mg/ml. The pH is checked and adjusted, if necessary. The pH of the solution should be in the range of from about 5.2 to about 6.0, preferably 5.5 to 5.7. The pH may be adjusted with HCl or NaOH. The solution is then brought to the proper volume with WFI. The initial volume of WFI should be at least 90% of the total volume to achieve acceptable dissolution of the olanzapine.

Since hydrolysis is the main path of degradation, the holding time and temperature of the solution prior to lyophilization are critical. It is recommended that the lyophilization solution be refrigerated at from about 2 to 12 °C, preferably less than 10 °C, prior to filling. The solution should be filled and lyophilization initiated within from about 1 to about 48 hours of solution manufacture, preferably within 24 hours.

A secondary drying cycle, sufficient to reduce moisture in the final product to less than 0.6% is preferred

-12-

to minimize olanzapine degradation and provide optimum shelf life. The lyophilized plug readily dissolves when reconstituted with a pharmaceutically acceptable diluent.

If desired, before lyophilization the

5 lyophilization solution may be subjected to a filtration process. The filtration process may include, for example, a sterilizing filtration of the lyophilization solution to eliminate microorganisms or other contaminating matter.

If desired, before lyophilization, the

10 lyophilization solution may be subjected to a distributing process. The distributing process includes, for example in the case of vial lyophilizations, a process for distributing a suitable volume of the lyophilization solution before lyophilization into vials, taking the concentration of
15 olanzapine into consideration in order that vial products carry a desired amount of olanzapine.

The lyophilized composition is prepared by a sequential cooling and heating process. Preferably, the process for preparing a lyophilized composition comprises
20 the sequential steps of:

- (a) cooling the lyophilization solution to a temperature below -35°C for at least one hour;
- (b) heating the product of step (a) to a temperature of at least -22°C for at least eight hours under
25 subatmospheric pressure to remove water;
- (c) heating the product of step (b) to a temperature of at least 30°C , preferably from about 30°C to about 40°C , for a time sufficient to remove water from the aqueous solvent and yield a solid lyophilized product,
30 preferably at least six hours under subatmospheric pressure. Steps (b) and (c) are performed at a subatmospheric pressure of less than 125 mTorr, preferably from about 90 mTorr to about 115 mTorr.

-13-

Preferred parameters in the lyophilization process are those wherein olanzapine is frozen by cooling to less than -35°C , preferably from about -35°C to about -45°C . This cooling step is performed preferably over 1 to 4 hours. 5 This process is herein after referred to as the "primary freezing process".

The frozen solution obtained in the primary freezing process is then warmed to a temperature in the range of from about -15°C to about -25°C , preferably at 10 greater than or equal to -22°C , under subatmospheric pressure, preferably in the range of from about 90 mTorr to about 115mTorr. This warming step is preferably carried to completion in from 6 to 40 hours. This process is hereinafter referred to as the "primary drying process".

15 The composition obtained through the primary drying process is dried under a high vacuum by sublimating water according to methods known to those skilled in the art. Thus, a lyophilized preparation of the present invention is obtained. If desired, two step drying in which 20 the temperature and the degree of vacuum are different may be performed for removing water, according to methods known to those skilled in the art. For example, the second drying step may be performed at temperatures of from about 30°C to about 40°C , preferably from about 30°C to about 35°C for 25 at least six hours.

The lyophilization process removes most of the water originally present, but the final lyophilized product composition may contain residual free water, preferably less than 2.5%. Typically, the water content can range from about 30 0.1% to about 2.5% weight percent. More typically, the water content ranges from about 0.2% to about 0.6%.

A dose-concentrate configuration of the formulation of the invention is a sealed container holding an amount of lyophilized pharmaceutical formulation of the

-14-

invention. The dose-concentrate configuration is prepared by placing lyophilized composition in a container (e.g., glass or plastic bottles, vials, ampoules, cartridges, syringes) in sufficient amount to treat at least one mammal. (As used
5 herein, the term "mammal shall refer to the Mammilla class of higher vertebrates. The term "mammal" includes but is not limited to a human as well as related important veterinary species of mammals, domesticated quadripeds such as monkeys, dogs, cats, horses, sheep, pigs, goats and
10 cows.)

The container preferably also contains an empty space of sufficient size to permit (i) addition of aqueous solvent plus (ii) additional space as necessary to permit agitation and effect complete solution of the lyophilized
15 composition in the added aqueous solvent. The container may be equipped with a penetrable top, for example, a rubber seal, preferably a low moisture butyl rubber stopper, so that aqueous solvent may be added by penetrating the seal with a hypodermic needle and the concentrate subsequently
20 removed by the same means. An example of a dose-concentrate configuration is a glass vial having a capacity of from about 5 to about 100 milliliters containing 1 to 150 milligrams of olanzapine in the lyophilized pharmaceutical formulation. The empty space above the solid composition has
25 ample room for addition of a solvent such as sterile water for injection plus room to agitate the total contents. The addition of the aqueous solvent to the dose-concentrate configuration results in a liquid concentrate which may then be conveniently used to form unit dosages of liquid
30 pharmaceutical formulation by removing the entire contents for immediate use as an intramuscular injection or for dilution for intravenous use. For intravenous use, the concentrate is added to an IV (intravenous) container containing a suitable aqueous solvent. Useful solvents are

-15-

standard solutions for injection (e.g., 5% dextrose or sterile Water For Injection, etc.). Typical unit dosage IV bags are conventional glass or plastic containers having inlet and outlet means and having standard (e.g., 250 ml and 500 ml) capacities. The concentrated solution of lyophilized pharmaceutical formulation is added to the unit dose IV bag in an amount sufficient to achieve total dose of from about 1 to about 40 mg of olanzapine. Other pharmaceutically acceptable additive agents may be added to the lyophilized preparations of the present invention. Where the lyophilized preparation is to be used for injection, an isotonicizing agent or a soothing agent or other additives may be added thereto.

Intramuscular injection is the preferred mode of delivery to the mammal being treated particularly in emergency situations. A specific example, is a 5 ml glass vial with a rubber stopper with low moisture hold-up and low vapor transmission rates, having a lyophilized pharmaceutical composition containing 10 mg of olanzapine, 3.5 mg of tartaric acid, and 50 mg of lactose monohydrate. Preferably the lyophilized pharmaceutical formulation of this invention is diluted with aqueous solvent suitable for injection and a liquid unit dosage form prepared for administration to a mammal. The rate of absorption of olanzapine is more rapid after parenteral administration, such as IM, than after oral administration of the same dose.

The efficacy of the lyophilized, amorphous, parenteral olanzapine formulation for the control of agitation is evaluated in a randomized, double-blind, placebo- and active comparator-controlled study in agitated patients, such as those, for example, with schizophrenia, bipolar mania, and dementia associated with neurodegenerative disorders. The patients represent a range of ages from young to elderly, and a range of clinical

-16-

conditions involving both psychotic and nonpsychotic patients with moderate to severe agitation.

Alleviation of agitation is assessed by the use of a battery of measures, including but not limited to, the mean change from baseline in the Positive and Negative Syndrome Scale (PANSS) Excited Component (Kay et al., Schizophrenia Bulletin, 16, 537-545 1990). Additional efficacy measures include the Agitation-Calmness Evaluation Scale (ACES), the Corrigan Agitated Behavior Scale (Corrigan JD Journal of Clinical and Experimental Neuropsychology 11(2):262-77, 1989) and the Cohen-Mansfield Agitation Inventory (Cohen-Mansfield et. Al., Journal of Clinical Psychiatry, 57(5):190-8, 1996; Cohen-Mansfield et., Int Psychogeriatrics 4:221-240, 1992; Cohen Mansfield et. Al., Gerontology, 36(3);150-8, 1990). The results of the studies support the efficacy of the lyophilized olanzapine formulation in treating agitation across different patient populations.

The ACES is designed to differentiate between the agitated, calm, and sleep states by utilizing a specially developed 9-point scale:

- 1 = Marked Agitation
- 2 = Moderate Agitation
- 3 = Mild Agitation
- 4 = Normal
- 5 = Mild Calmness
- 6 = Moderate Calmness
- 7 = Marked Calmness
- 8 = Deep Sleep
- 9 = Unarousable

Scores could range from a single score of 1 to 9.

The onset of action of the parenteral, lyophilized, amorphous olanzapine formulation is investigated at various time points ranging from 15 minutes

-17-

to 2 hours following the first injection. The lyophilized olanzapine formulation demonstrated superior reduction in agitation on the PANSS Excited Component at the earliest time point (15 minutes) measured.

- 5 The following examples are provided for purposes of illustration and are not to be construed as limiting the scope of the claimed invention.

-18-

Example 1

Olanzapine for Injection, 10mg, Unit formula (mg/vial)

Olanzapine 10 mg

5 Lactose Monohydrate 50 mg

Tartaric Acid 3.5 mg

WFI q.s. to 2 ml

HCl soln 10% and/or NaOH soln 10% q.s. for pH adjustment

10 Preparation:

1. Dispense WFI into manufacturing container
(approximately 75 to 90% of q.s. weight)

15 2. Add tartaric acid into water and stir until
dissolved.

3. Add lactose monohydrate into manufacturing
container and stir until dissolved.

4. Add olanzapine and stir until dissolved (5 to
60 minutes).

20 5. Adjust pH to 5.50 to 5.70 with 10% NaOH and/or
10% HCL solution as required.

6. Q.S. to final weight with WFI.

7. Check pH; readjust to 5.50 to 5.70 if
necessary.

25 8. Filter solution through a 0.22 micron
microbial retentive filter.

9. Fill to appropriate volume.

10. Freeze dry.

30

-19-

We Claim:

1. A stable, amorphous, lyophilized, parenteral formulation comprising olanzapine as an active ingredient, a solubilizer and a stabilizer.
5
2. The formulation according to Claim 1 wherein the formulation is administered via intramuscular injection.
- 10 3. The formulation according to Claim 2 wherein the olanzapine is an amorphous state.
4. The formulation according to Claim 3 wherein the solubilizer is tartaric acid and the stabilizer is lactose.
15
5. The formulation according to Claim 4 wherein the olanzapine is a tartrate salt.
6. The formulation according to Claim 4 wherein the
20 lactose is lactose monohydrate.
7. The formulation according to Claim 6 comprising from about 1 to about 20 mg/ml olanzapine, from about 22.5 to 50 mg/ml of lactose monohydrate and from about 0.35 to 10
25 mg/ml of tartaric acid.
8. The formulation according to Claim 6 comprising 5 mg/ml mg of olanzapine, 1.7 mg/ml of tartaric acid and 25 mg/ml of lactose monohydrate.
30
9. The formulation according to Claim 6 wherein the amount of olanzapine is selected from the group consisting of 1, 2.5, 5, 7.5, 10, 15, 20 mg/ml.

-20-

10. The formulation according to Claim 6 comprising 20 mg olanzapine, 7 mg tartaric acid and 100 mg lactose.

11. The formulation according to any one of Claims 1 to 10 for use in treating agitation.

12. The formulation according to Claim 11 wherein the agitation is associated with disorders selected from the group consisting of psychotic disorders, schizophrenia, bipolar disorder (both manic and mixed states), dementia and associated disorders, and neurodegenerative disorders.

13. The formulation according to Claim 12 wherein the agitation is associated with disorders selected from the group consisting of Delirium, Dementia and Amnesiac and Other Cognitive Disorders (DSM IV pp 123- 164), Mental Disorders Due to a General Medical Condition (DSM IV pp 165- 174), Substance Related Disorders (DSM IV pp 175- 272), Schizophrenia and Other Psychotic Disorders (DSM IV 273- 316), Mood Disorders (DSM IV pp 317-392), Anxiety Disorders (DSM IV pp 393-444) Adjustment Disorders (DSM IV pp 623- 628), and Personality Disorders (DSM IV pp 629- 674).

14. A pharmaceutical formulation according to Claims 1 to 10 adapted for the treatment of agitation.

15. The use of a formulation as claimed in Claims 1 to 10 for the manufacture of a medicament for the treatment of agitation.

16. The pharmaceutical formulation as claimed in Claims 1 to 10 for use in treating agitation in a human, comprising an active ingredient for treating agitation, a

-21-

solubilizer and a stabilizer, characterized in that said active ingredient is olanzapine.

17. An article of manufacture, comprising packaging material and a formulation containing olanzapine as claimed in Claims 1 to 10, wherein the olanzapine is useful for treating agitation, and said packaging material comprises a label or package insert indicating that said formulation contains olanzapine and can be used to treat agitation.

18. A formulation comprising olanzapine, tartaric acid, and a stabilizer.

19. The formulation according to claim 18 wherein the stabilizer is lactose.

20. The formulation according to claim 18 wherein the stabilizer is lactose monohydrate.

21. A formulation comprising from about 1 to about 20 mg/mL olanzapine, from about 22.5 to 50 mg/mL of lactose monohydrate and from about 0.35 to 10 mg/mL of tartaric acid.

22. A formulation comprising from about 1 to about 20 mg/mL olanzapine, from about 20.0 to 50 mg/mL of lactose monohydrate and from about 0.35 to 10 mg/mL of tartaric acid.

23. The formulation as claimed in any of claims 18 to 22 wherein the formulation is free of crystalline forms of olanzapine.

-22-

24. A formulation obtainable by a process which comprises, lyophilization of a solution comprising olanzapine, a solubilizer, and a stabilizer.

5 25. The formulation according to claim 24 wherein the solution is an aqueous solution.

10 26. The formulation according to claim 24 wherein the solubilizer is tartaric acid, and the stabilizer is lactose.

27. The formulation according to claim 26 wherein the solution is an aqueous solution.

15 28. The formulation according to claim 27 wherein the formulation is placed in a container in sufficient amount to treat one mammal.

20 29. The formulation according to claim 28 wherein the amount of olanzapine is from 0.25 to 50 mg.

30. The formulation according to claim 28 wherein the amount of olanzapine is from 1 to 30 mg.

25 31. A process for preparing a formulation which comprises, lyophilization of a solution comprising olanzapine, a solubilizer, and a stabilizer.

30 32. The process according to claim 31 wherein the solution is an aqueous solution.

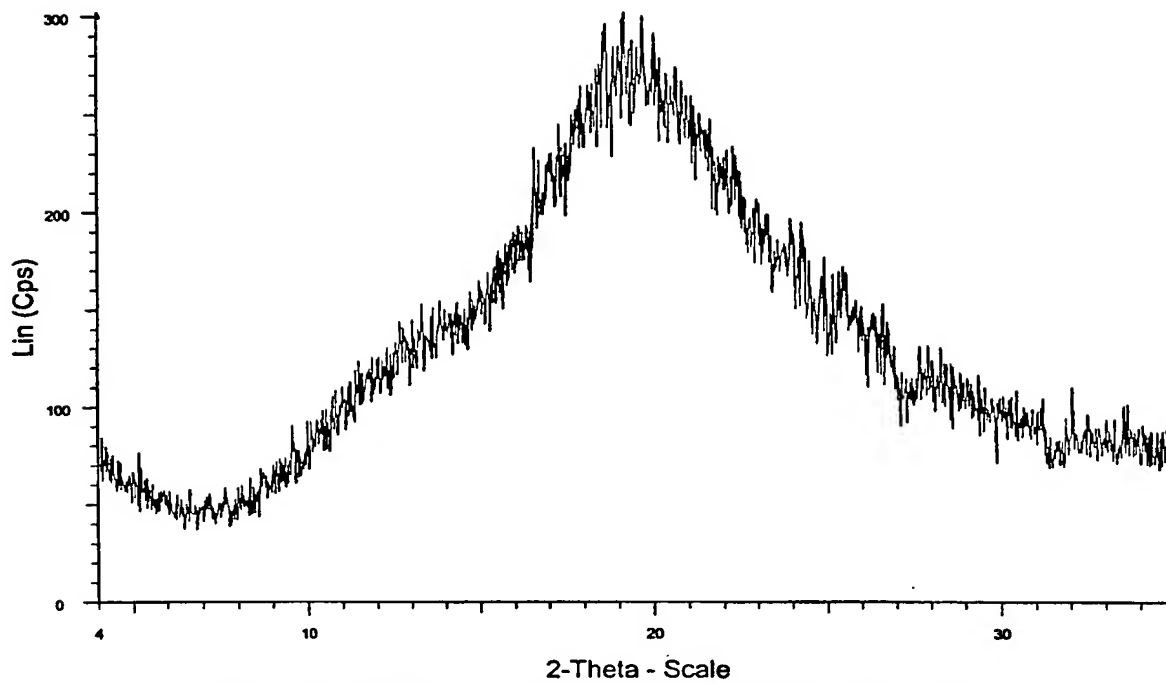
33. The process according to claim 31 wherein the solubilizer is tartaric acid, and the stabilizer is lactose.

-23-

34. The process according to claim 33 wherein the solution is an aqueous solution.

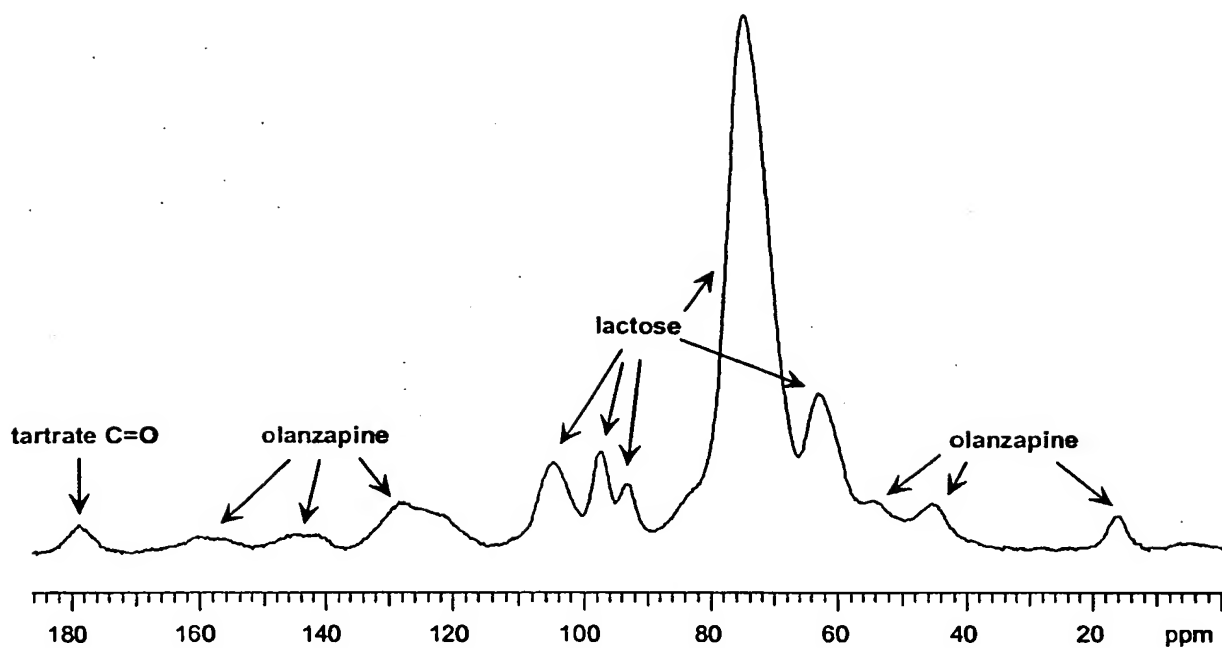
35. The process according to claim 34 wherein the
5 formulation is placed in a container in sufficient amount to treat one mammal.

1/2



X-ray powder diffraction pattern of lyophilized olanzapine formulation

2/2



^{13}C CP/MAS NMR spectrum of lyophilized olanzapine formulation.

THIS PAGE BLANK (USPTO)

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
30 January 2003 (30.01.2003)

PCT

(10) International Publication Number
WO 03/007912 A3

(51) International Patent Classification⁷: **A61K 31/5513**,
47/12, 47/26, 9/19, A61P 25/18, 25/28

(21) International Application Number: PCT/US02/19799

(22) International Filing Date: 5 July 2002 (05.07.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/306,829 20 July 2001 (20.07.2001) US
60/386,474 7 June 2002 (07.06.2002) US

(71) Applicant (for all designated States except US): **ELI LILLY AND COMPANY** [US/US]; Lilly Corporate Center, Indianapolis, IN 46285 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **DEKEMPER, Kurt, Douglas** [US/US]; 449 East King Street, Franklin, IN 46131 (US). **FITES, Alan, Lee** [US/US]; 1806 Fox Cliff North, Martinsville, IN 46151 (US). **NAIL, Steven, L.** [US/US]; 5801 Farm Ridge Road, West Lafayette, IN 47906 (US).

(74) Agents: **LENTZ, Nelsen, L. et al.**; Eli Lilly and Company, P.O. Box 6288, Indianapolis, IN 46206-6286 (US).

(81) Designated States (national): AE, AG, AL, AM, AT (utility model), AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK (utility model), SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),

European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

— as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

— as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

Published:

— with international search report

(88) Date of publication of the international search report:
1 May 2003

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: LYOPHILIZED FORMULATION COMPRISING OLANZAPINE

(57) Abstract: The invention provides an amorphous, lyophilized, parenteral formulation of olanzapine.

WO 03/007912 A3

INTERNATIONAL SEARCH REPORT

onal Application No
PCT/US 02/19799

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K31/5513 A61K47/12 A61K47/26 A61K9/19 A61P25/18
A61P25/28

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS, PASCAL, EMBASE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 457 101 A (GREENWOOD BEVERLEY ET AL) 10 October 1995 (1995-10-10) column 2, line 35 - line 48 column 5, line 60,67 examples 3,4	1-35
A	EP 0 421 297 A (EISAI CO LTD) 10 April 1991 (1991-04-10) abstract claims	1-35

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) -
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

Z document member of the same patent family

Date of the actual completion of the international search

30 October 2002

Date of mailing of the international search report

15/11/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Hornich, E

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 02/19799

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☒ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

The subject-matter of present claims 1, 18, 24, 31 and the dependent claims 2, 3, 11-17, 23, 25 and 32 is defined by means of functional features:

- * a solubilizer
- * a stabilizer

Because of the character of the functional feature, it cannot be guaranteed that the performed search is complete. It cannot be excluded that compounds fulfilling the requirements of the functional features have not been identified as doing so in the prior art.

If such compounds have not been identified in the application either, they have not been covered by the search.

The search has been carried out in particular with respect to the examples given in the application as well as the compounds particularly mentioned in the claims (tartaric acid, lactose).

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

In International Application No
PCT/US 02/19799

Form PCT/ISA/210 (patent family annex) (July 1992)

THIS PAGE BLANK (USPTO)